PTO/SB/21 (09-04) Approved for use through 07/31/2006. OMB 0651-0031 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE Paperwork Reduction Act of 1995, no persons are required to respond to a c llection of information unless it displays a valid OMB control number. **Application Number** 10/774,092 TRANSMITTAL Filing Date February 6, 2004 First Named Inventor **FORM** Brovelli et al Art Unit 1655 Examiner Name Patricia A. Leith (to be used for all correspondence after initial filing) Attorney Docket Number AM1150 Total Number of Pages in This Submission **ENCLOSURES** (Check all that apply) After Allowance Communication to TC Fee Transmittal Form Drawing(s) Appeal Communication to Board Licensing-related Papers of Appeals and Interferences Fee Attached Appeal Communication to TC ✓ Petition (Appeal Notice, Brief, Reply Brief) Amendment/Reply Petition to Convert to a Proprietary Information Provisional Application After Final Power of Attorney, Revocation Status Letter Affidavits/declaration(s) Change of Correspondence Address Other Enclosure(s) (please Identify Terminal Disclaimer below): Extension of Time Request Request for Refund **Express Abandonment Request** CD, Number of CD(s) Information Disclosure Statement Landscape Table on CD Certified Copy of Priority Remarks Document(s) Reply to Missing Parts/ Incomplete Application Reply to Missing Parts under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Firm Name Alticor Inc. Signature Printed name Connie B. Berg Reg. No. Date 46,548 April 28, 2006 CERTIFICATE OF TRANSMISSION/MAILING I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below: Signature im D. Brandechast

## April 28, 2006 Kim D. Branderhorst Typed or printed name

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.



## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Appl. No.

: 10/774,092

Applicant

: Brovelli et al.

Filed

: February 6, 2004

Title

: METHOD OF AUGMENTING THE IMMUNE-MODULATORY ACTIVITY

OF STANDARDIZED ECHINACEA PREPARATIONS

Group Art Unit: 1655

Examiner

: Patricia A. Leith

Docket No.

: AM1150

MAIL STOP - AMENDMENT Honorable Commissioner for Patents PO Box 1450 Alexandria, VA 22313-1450

## RESPONSE TO RESTRICTION REQUIREMENT

Dear Sir:

Responsive to the Restriction Requirement dated April 7, 2006, claims 1 – 22 are pending in the application. Restriction to one of three inventions has been requested. The Office Action has identified claims 1 – 7 as Invention Group I, claims 8-11 as Invention Group II, and claims 12-22 as Invention Group III. Applicants hereby elect without traverse claims 1-7 drawn to Invention Group I for examination. Applicants reserve the right to file a divisional application to the non-elected claims.

Applicants: Brovelli, et al. Serial No.: 10/774,092

Page No. : 2

If fees are required so that this response be considered timely filed, the Commissioner is hereby authorized to charge such additional fees to deposit account no. 01-1793. If the Examiner has any questions or comments, please call the undersigned.

Dated: April 28, 2006

Respectfully submitted,

Connie B. Berg, Reg. No. 46,548

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